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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<b>Attorney Docket No.</b>	D-188
		<b>First Inventor</b>	Rafael Rodriguez et al.
		<b>Title</b>	RECHARGEABLE COMPOSITE POLYMER BATTERY
		<b>Express Mail Label No.</b>	ET382517021US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification [Total Pages 16] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]			
5. Oath or Declaration [ Total Pages 4 ]		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) <small>Copy from a prior application (37 CFR 1.63 (d))</small> <small>(for continuation/divisional with Box 18 completed)</small>		10. <input checked="" type="checkbox"/> (when there is an assignee) <input checked="" type="checkbox"/> Attorney	
b. <input type="checkbox"/>		11. <input type="checkbox"/> English Translation Document (if applicable)	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		13. <input type="checkbox"/> Preliminary Amendment	
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input type="checkbox"/> Other: .....	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

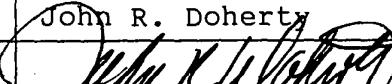
Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	Customer Name or Address bar code label here		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Attorney John R. Doherty		
	P.O. Box 706		
Address			
City	Stevenson	State	CT
Country	USA	Telephone	(203) 459-8701
Name (Print/Type)	John R. Doherty	Registration No. (Attorney/Agent)	19,748
Signature			Date 08/20/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

  
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 08/21/03

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 457)

## Complete If Known

Application Number	
Filing Date	
First Named Inventor	Rafael Rodriguez et al.
Examiner Name	
Art Unit	
Attorney Docket No.	D-188

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	375
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)		375	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Fee from below		
Total Claims	20	-20** = 0	X	= 0
Independent Claims	4	-3*** = 1	X 42	= 42
Multiple Dependent				= 0

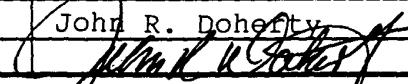
Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		42

\*\*or number previously paid, if greater; For Reissues, see above

Other fee (specify) \_\_\_\_\_ \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

40

## SUBMITTED BY

Name (Print/Type)	John R. Doherty	Registration No. (Attorney/Agent)	19,748	Telephone	(203) 459-8701
Signature					
Date	08/20/2003				

(Complete if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

**John R. Doherty**

Attorney at Law  
P.O. Box 706  
Stevenson, CT 06491-0706

Tel. Phone & Fax: (203) 459-8701

Patent, Trademark,  
Copyright & Related Matters

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 20, 2003

Re: New Utility Application

UTILITY

Sir:

Enclosed is a new utility patent application for filing  
in the U.S. Patent and Trademark Office as follows:

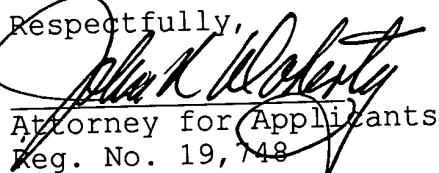
Inventors: Rafael Rodriguez et al.  
Title: RECHARGEABLE COMPOSITE POLYMER BATTERY  
Drawings: Five (5) sheets

Please apply a Serial No. and Filing Date to the Post  
Card which is attached to this application and return the same to  
the undersigned.

The application is accompanied by:  
(1) Specification, Claims, Drawings & Abstract  
(2) A Declaration & Powers of Attorney  
including Statement under 37 CFR 3.73(b)  
(3) Assignment and Request to Record  
(4) A Check in the amount of \$457.00 (Small Entity)  
to cover the filing fee and assignment recording,  
payable to the Commissioner of Patents and  
Trademarks

This application claims priority to a provisional  
application 60/405/162 and MUST BE FILED ON OR BEFORE

August 21, 2003.

Respectfully,  
  
John R. Doherty  
Attorney for Applicants  
Reg. No. 19,748

John R. Doherty  
Enclosures:

CERTIFICATION UNDER 37 CFR 1.10

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: New Utility Application  
Title: RECHARGEABLE COMPOSITE POLYMER BATTERY  
Applicants: Rafael Rodriguez et al.  
Claims priority to Provisional Application 60/405,162  
filed 8/21/2002

Paper being filed:

New Utility Application including  
Declaration, Specification, Claims, Drawing  
Assignment, Transmittal, Fee Determination,  
Fee Transmittal and Filing & Recording fees

"Express Mail" mailing label number: ET382517021US

Date of Deposit: August 21, 2003

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37 CFR 1.10 on the date indicated above and is  
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Alexandria, VA 22313-1450

Rafael Rodriguez  
(type or print name of person mailing paper or fee)

Rafael Rodriguez  
(Signature of Person mailing paper or fee)

Date: August 20, 2003